I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an expelore addressed to:

Assistant Commissioner for Patents

Washington, D.C. 20231

FEB 2 0 2003

TOWNSEND and TOWNSEND and CREWILLS

Attorney Docket M.: 16303-007630

COOL & BAJ -007630

COOL & COOL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Andreas H. Sarris, et al.

Application No.: 09/937,674

Filed: March 12, 2002

For: COMPOSITIONS AND METHODS

FOR TREATING LYMPHOMA

Examiner:

Art Unit: 1751

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

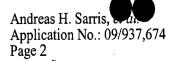
§1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.





Applicants believe that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit

any overpayment to, the above-noted Deposit Account.

Respectfully submitted

Joseph R. Snyder Reg. No. 39,381

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 Tel: 925-472-5000

Fax: 925-472-8895

EGW:lls WC 9051688 v1



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
r the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2 Attorney Docket Number 16303-007630

	U.S. PATENT DOCUMENTS					
		Document Number Number Kind Code ² (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or	Pages Columns Thes Where 1	
Examiner	Cite No.1			Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	
	1	US-4,885,172	12/05/1989	Bally et al.	~ ~	
-	2	US-4,952,408	08/28/1990	Rahman		
	3	US-5,059,421	10/22/1991	Loughrey et al.	Δ Δ Δ Δ	
	4	US-5,165,922	11/24/1992	Hellstrom et al.	O.	
	5	US-5,171,578	12/15/1992	Bally et al.	S. C.	
	6	US-5,543,152	08/06/1996	Webb et al.		
	7	US-5,567,592	10/22/1996	Benet et al.	40 2.	
	8	US-5,595,756	01/21/1997	Bally et al.	CA. 2. 0	
	9	US-5,714,163	02/03/1998	Forssen et al.	1/152 103	
	10	US-5,741,516	04/21/1998	Webb et al.	\$77 ya	
	11	US-5,814,335	09/29/1998	Webb et al.	100n	
	12	US-5,837,282	11/17/1998	Fenske et al.	200	
		US-			40	
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	For Country Code ³	eign Patent Doo Number ⁴	Cument Kind Code ⁶ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵

								ПП

Examiner Signature	Date Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

WC 9051688 v1

+

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



PTO/SB/08B (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Paperwest reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449B/PTO

Complete if Known

Application Number 09/937,674

Filing Date March 12, 2002

First Named Inventor Sarris, Andreas H., et. al.

Art Unit 1751

Examiner Name

(use as many sheets as necessary)

 Sheet
 2
 of
 2
 Attorney Docket Number
 16303-007630

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Ç			
	13	DUMONTET, et al., J. Clin. Onc., 17(3):1061-1070 (1999)				
	14	HUDSON, et al., Leukemia, 12(12):2029-2033 (1998)	C			
	15	HUDSON, et al., Leukemia, 12(12):2029-2033 (1998) KLUIN-NELEMANS, et al., Leukemia, 5(3):221-224 (1991)	200			
		() () () () () () () () () ()	-V			
			300			
		·				

Examiner	Date	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

WC 9051688 v1

+

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.